

**CHOU ASSOCIATES MANAGEMENT INC.**

110 Sheppard Ave East, Suite 301, Box 18 Toronto Ontario M2N 6Y8

Tel: 416 214 0675 Fax: 416 214 1733

**KNOW YOUR CLIENT FORM (KYC) PERSONAL**

Securities legislation requires us to obtain/provide the following information on an annual basis. This information is kept strictly confidential.

**Client Name:** \_\_\_\_\_

**S.I.N.:** \_\_\_\_\_

(fill out only if you are a new client)

**Occupation:** \_\_\_\_\_

**Date of Birth:** \_\_\_\_ / \_\_\_\_ / \_\_\_\_

MM DD YYYY

(fill out only if you are a new client)

**If you are a current client, has your address changed in the past 2 years?**

Yes \_\_\_\_\_ No \_\_\_\_\_

**(If yes, or if you are a new client, please fill in the address section below):**

**Address Line 1:** \_\_\_\_\_

**Address Line 2:** \_\_\_\_\_

**City:** \_\_\_\_\_

**Province:** \_\_\_\_\_

**Postal Code:** \_\_\_\_\_

**Country:** \_\_\_\_\_

**Telephone:** \_\_\_\_\_

**(Business):** \_\_\_\_\_ **(Residence):** \_\_\_\_\_

**Cell:** \_\_\_\_\_

(one or more of the contact numbers must be filled in)

**Email :** \_\_\_\_\_

**CITIZENSHIP / TAX PAYING:**

- Canadian
- Canadian / U.S. (Dual) – What is your TIN#: \_\_\_\_\_
- U.S. – What is your TIN#: \_\_\_\_\_
- International: (which country) – \_\_\_\_\_

**Net Annual Income**

(Minimum in past 2 years)

- Less than \$100,000
- \$100,000 – \$199,999
- \$200,000 – \$299,999
- \$300,000 – \$499,999
- Over \$500,000

**Net Assets**

(Can include real estate)

- Less than \$250,000
- \$250,000 – \$499,999
- \$500,000 – \$999,999
- \$1,000,000 – \$2,499,999
- \$2,500,000 – \$4,999,999
- Over \$5,000,000

**Net Financial Assets**

(Do not include real estate)

- Less than \$100,000
- \$100,000 – \$249,999
- \$250,000 – \$499,999
- \$500,000 – \$999,999
- Over \$1,000,000

**Concentration Risk**

(Approximately what percentage of your net financial assets are you investing with Chou Funds?)

- 0% – 10%
- 10% – 20%
- 20% – 30%
- 30% – 50%
- 50% or more

**Risk Tolerance**

- Low
- Medium
- High

**Investment Knowledge**

- Sophisticated
- Good
- Fair
- Novice

**Approximate Current Asset Allocation**

(other than Chou Funds)

Must add up to 100%)

\_\_\_\_ % Equities

\_\_\_\_ % Money Market  
(i.e. Cash)

\_\_\_\_ % Fixed Income

\_\_\_\_ % Other

=====

100 % Total

**Example 1**

If, outside of what you have at Chou, you have no other investments except for cash in bank accounts, etc, then you would put:

- 0% Equities
- 100% Money Market (i.e. Cash)**
- 0% Fixed Income
- 0% Other

**Example 2**

If, outside of what you have at Chou, you have for example \$100,000 in other Equity Funds and \$100,000 in a high-interest savings account, then you would put:

- 50% Equities**
- 50% Money Market (i.e. Cash)**
- 0% Fixed Income
- 0% Other

**Investment Objective**

- Safety
- Income
- Balanced
- Growth

**Liquidity**

**(Subscriber needs instant access to their investment)**

- Yes
- No

**Subscriber is an insider of a reporting issuer or other issuer whose securities are publicly traded (as those terms are defined under applicable securities laws).**

- No
- Yes \_\_\_ If **YES**, provide name(s) of issuer(s): \_\_\_\_\_

**Subscriber is a politically exposed foreign person:**

(You are a politically exposed foreign person if you, or a family member, hold or you have ever held one of the following offices or positions in or on behalf of a foreign country: a head of state or government; a member of the executive council of government or member of a legislature; a deputy minister (or equivalent); an ambassador or an ambassador’s attaché or counsellor; a military general (or higher rank); a president of a state-owned company or bank; a head of a government agency; a judge; or a leader or president of a political party in a legislature).

- No
- Yes \_\_\_ If **YES**, provide details: \_\_\_\_\_

**Third Party Determination**

**Is a third party instructing you in how to handle your account at Chou Funds?**

- No \_\_\_
- Yes \_\_\_

If yes, provide their name, address, date of birth, and relationship to you:

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**Intended Use of Account**

**What is your intended use for the proceeds of your investment with Chou Funds?**

- Savings for Retirement
- Savings for Real Estate
- Wealth Preservation
- Other (please give details): \_\_\_\_\_

**Client Signature:** X \_\_\_\_\_ **Date:** \_\_\_\_ / \_\_\_\_ / \_\_\_\_  
( MM / DD / YYYY )