



**Net Annual Income/Revenue**

(Minimum in past 2 years)

- Less than \$100,000
- \$100,000 – \$199,999
- \$200,000 – \$299,999
- \$300,000 – \$499,999
- Over \$500,000

**Net Assets**

(Can include real estate)

- Less than \$250,000
- \$250,000 – \$499,999
- \$500,000 – \$999,999
- \$1,000,000 – \$2,499,999
- \$2,500,000 – \$4,999,999
- Over \$5,000,000

**Net Financial Assets**

(Do not include real estate)

- Less than \$100,000
- \$100,000 - \$249,999
- \$250,000 – \$499,999
- \$500,000 - \$999,999
- Over \$1,000,000

**Concentration Risk**

(Approximately what percentage of your net financial assets are you investing with Chou Funds?)

- 0% – 10%
- 10% – 20%
- 20% – 30%
- 30% – 50%
- 50% or more

**Risk Tolerance**

- Low
- Medium
- High

**Investment Knowledge**

- Sophisticated
- Good
- Fair
- Novice

**Approximate Current Asset Allocation**

(other than Chou Funds)

Must add up to 100%)

\_\_\_\_\_ % Equities

\_\_\_\_\_ % Money Market  
(i.e. Cash)

\_\_\_\_\_ % Fixed Income

\_\_\_\_\_ % Other

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100 % Total

**Example 1**

If, outside of what you have at Chou, you have no other investments except for cash in bank accounts, etc, then you would put:

- 0% Equities
- 100% Money Market (i.e. Cash)**
- 0% Fixed Income
- 0% Other

**Example 2**

If, outside of what you have at Chou, you have for example \$100,000 in other Equity Funds and \$100,000 in a high-interest savings account, then you would put:

- 50% Equities**
- 50% Money Market (i.e. Cash)**
- 0% Fixed Income
- 0% Other

**Investment Objective**

- Safety
- Income
- Balanced
- Growth

**Liquidity**

**(Subscriber needs instant access to their investment)**

- Yes
- No

**Subscriber is an insider of a reporting issuer or other issuer whose securities are publicly traded (as those terms are defined under applicable securities laws).**

- No
- Yes \_\_\_ If **YES**, provide name(s) of issuer(s): \_\_\_\_\_

**Subscriber is a politically exposed foreign person:**

(You are a politically exposed foreign person if you, or a family member, hold or you have ever held one of the following offices or positions in or on behalf of a foreign country: a head of state or government; a member of the executive council of government or member of a legislature; a deputy minister (or equivalent); an ambassador or an ambassador’s attaché or counsellor; a military general (or higher rank); a president of a state-owned company or bank; a head of a government agency; a judge; or a leader or president of a political party in a legislature).

- No
- Yes \_\_\_ If **YES**, provide details: \_\_\_\_\_

**Third Party Determination**

**Is a third party instructing you in how to handle your account at Chou Funds?**

- No \_\_\_\_\_
- Yes \_\_\_\_\_

If yes, provide their name, address, date of birth, and relationship to you:

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**Intended Use of Account**

**What is your intended use for the proceeds of your investment with Chou Funds?**

- Savings for Retirement                       Savings for Real Estate
- Wealth Preservation                             Reinvestment of Retained Earnings
- Other (Please give details):

**Authorized Representative Signature:**    X \_\_\_\_\_

**Date:** \_\_\_\_\_ / \_\_\_\_\_ / \_\_\_\_\_  
( MM / DD / YYYY )